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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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GROUP 2700

In re application of

Masashi TANAKA

Appl. No. 09/324,655

Filed: June 3, 1999



Group Art Unit: 2735

Examiner: Unknown

For: **SUBSTITUTION CALL CONTROL SYSTEM IN ATM COMMUNICATION
NETWORK**

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

1. Hee Sook Choi et al., "Locally embedded PSA method and architecture of signaling and call/connection control in UNI", Information Networking, 1998. (ICOIN-12), January, 1998), pp. 544-547.
2. Japanese Unexamined Patent Publication No. 9-247190, published September 19, 1997.
3. Japanese Unexamined Patent Publication No. 10-207808, published August 7, 1998.
4. ATM User-Network Interface (UNI), Signalling Specification, Version 4.0, af-sig-0061.00, July, 1996.
5. Man Li et al., "Simple access signaling for ATM-based video-on-demand services", Community Network, 1995, pp. 95-100.

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Information Disclosure Statement

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6. J. Anderson, "IN controls for broadband networks", Intelligent Network Workshop, 1998, pp. 361-371.

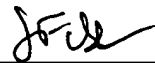
One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding Japanese Office Action dated February 22, 2000 with an English translation of the pertinent portions thereof which cites such documents and indicates the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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